

Atmospheric retention in water-cooled sub-Neptunes

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It is known that the planetary radius population consists of super-Earths and sub-Neptunes separated by a valley at $\sim 1.7R_{\text{Earth}}$ (e.g., Fulton et al., 2017). Theoretical studies suggest that sub-Neptunes can lose their envelopes and evolve into super-Earths by hydrodynamic escape, driven by heating of the upper atmosphere through X-ray and UV absorption (e.g., Owen and Wu, 2017). Whether sub-Neptunes can retain their thick, H₂-dominated atmospheres depends on various factors. Among these, atmospheric composition is expected to play a crucial role, as radiatively active molecules such as H₂O can lose heating energy through thermal emission, thereby reducing escape efficiency. However, the influence of atmospheric composition on the evolutionary pathways of sub-Neptunes remains insufficiently explored.

In this study, we applied a 1-D hydrodynamic escape model that incorporates chemical and radiative processes to sub-Neptunes' atmospheres composed of H₂ and H₂O. We calculated atmospheric escape rates as functions of stellar EUV flux, planetary gravitational acceleration, and H₂O/H₂ ratio at the base of the flow. Using these rates, we evolved sub-Neptunes with a fixed planetary mass and on circular orbits around an M2-type ($0.45M_{\text{sun}}$) star. We adopted M_p of 2.5 and $5M_{\text{Earth}}$, initial envelope mass fractions of 1–5%, basal H₂O/H₂ ratio of 0–0.1, and semi-major axes of 0.01–0.3 au, corresponding to main-sequence stellar irradiance of 0.3–250 times the current terrestrial value. Chemical reactions involving H₂, H₂O, and their chemical products are considered. Heating by absorption of stellar X-rays and UV absorption is calculated using a reconstruction of the 0.1–280 nm spectrum of GJ 832 as a representative M2 dwarf. Radiative cooling by thermal line emission from H₂O, OH, H₃⁺, OH⁺, and H₃O⁺ are also considered.

The atmospheric escape rate decreases as the basal H₂O/H₂ ratio increases primarily due to the radiative cooling effect of H₂O, regardless of gravity and EUV flux. It becomes about one order of magnitude low at a basal H₂O/H₂ ratio of ~ 0.1 relative to a pure H₂ atmosphere. Consequently, variations in the atmospheric H₂O/H₂ ratio play a crucial role in determining whether sub-Neptunes can retain their atmospheres over several Gyr. These results suggest that H₂O condensation in the lower atmospheres of temperate sub-Neptunes could explain the paradoxical observations that these objects disappear more rapidly than their counterparts closer to the star.

Keywords: sub-Neptune, atmospheric evolution, atmospheric escape